

Annexure - B

Form No. MGT -9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31st March 2018

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i) CIN	U67120RJ2007PTC025461
ii) Registration Date	10 December 2007
iii) Name of the Company	RIDHI SHARE BROKERS PRIVATE LIMITED
iv) Category / Sub Category of the Company	Company Limited by Shares
v) Address of the Registered office and Contact details	A-40 A, BAJAJ NAGAR OPP. GANDHINAGAR RAILWAY STATION JAIPUR, RAJASTHAN-302015 Phone No : +91-7300081118
vi) Whether listed company (Yes / No)	No
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	N.A.
viii) Website of the Company, if any	www.ridhishare.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated :

Sr. No.	Name and Description of main products / services	NIC Code of the Product / Service	% of total turnover of the Company
1	Brokerage and service charges	67120	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of Shares held	Applicable Section
1.	Ridhi Fincap Private Limited A-40A, Bajaj Nagar Opp. Gandhinagar Railway Station, Jaipur-302015	U65993RJ2010PTC031174	Associate Company	44.25%	Section 2(6)
2.	Ridhi Commodities Private Limited SB-157, 405-408, Forth Floor, Milestone, Gandhi Nagar Mod, Jaipur	U51109RJ2009PTC029750	Associate Company	46.22%	Section 2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding:

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual / HUF	-	1,260,000	1,260,000	100.00	-	1,260,000	1,260,000	100.00	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corporate	-	-	-	-	-	-	-	-	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A)(1):	-	1,260,000	1,260,000	100.00	-	1,260,000			
(2) Foreign									
a) NRIs - Individuals	-	-	-	-	-	-	-	-	-

Created with

b) Other - Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2):	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoters (A) = (A)(1)+(A)(2)	-	1,260,000	1,260,000	100.00	-	1,260,000	1,260,000	100.00	-
B. Public				-				-	
(1) Institutions				-				-	
a) Mutual Funds / UTI	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-Total (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non-Institutions				-				-	
a) Bodies Corporate				-				-	
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals				-				-	
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (specify)				-				-	
Sub-Total (B)(2):	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	1,260,000	1,260,000	100.00	-	1,260,000	1,260,000	100.00	-

ii) Shareholding of Promoters:

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
1.	Budhi Prakash Sharma	1,059,000	84.05	-	1,059,000	84.05	-	-
2.	Nupur Sharma	200,000	15.87	-	200,000	15.87	-	-
3.	Rajan Maheshwari	1,000	0.08	-	1,000	0.08	-	-
	Total	1,260,000	100.00	-	1,260,000	100.00	-	-

iii) Change in Promoters' Shareholding (please specify, if there is no change):

Sr. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the
	At the beginning of the year	No Change	No Change	No Change	No Change
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	No Change	No Change	No Change	No Change
	At the end of the year	No Change	No Change	No Change	No Change

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the
	At the beginning of the year	NOT APPLICABLE			
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)				
	At the end of the year				

v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Budhi Prakash Sharma				
	At the beginning of the year	1,059,000	84.05	1,059,000	84.05
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	1,059,000	84.05	1,059,000	84.05
2.	Nupur Sharma				
	At the beginning of the year	200,000	15.87	200,000	15.87
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	200,000	15.87	200,000	15.87
3.	Sitaram Sharma				
	At the beginning of the year	-	-	-	-
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	-	-	-	-

vi) Indebtedness:

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the				
i) Principal Amount	41594006.36	0.00	0.00	41594006.36
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	41594006.36	0.00	0.00	41594006.36
Change in Indebtedness during the				
Addition	49800000.00		0.00	49800000.00
Reduction	40000000.00		0.00	40000000.00
Net Change	9800000.00	0.00	0.00	9800000.00
Indebtedness at the end of the financial				
i) Principal Amount	51394006.36	0.00	0.00	51394006.36
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	51394006.36	0.00	0.00	51394006.36

vii) Remuneration Of Directors And Key Managerial Personnel :

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr.	Particulars of Remuneration	Name of MD / WTD / Manager			Total Amount
		Budhi Prakash Sharma -MD	----	----	
1.	Gross Salary				
	(a) Salary as per Provisions	6400000.00	0.00	0.00	6400000.00
	(b) Value of Perquisites u/s 17(2) of	0.00	0.00	0.00	0.00
	(c) Profits in lieu of Salary u/s 17(3)	0.00	0.00	0.00	0.00
2.	Stock Option	0.00	0.00	0.00	0.00
3.	Sweat Equity	0.00	0.00	0.00	0.00
4.	Commission	0.00	0.00	0.00	0.00
	- as % of Profit	0.00	0.00	0.00	0.00
	- Others, specify...	0.00	0.00	0.00	0.00
5.	Others, Please specify	0.00	0.00	0.00	0.00
	Total (A)	6400000.00	0.00	0.00	6400000.00

B. Remuneration to Other Directors:

Sr.	Particulars of Remuneration	Name of Directors			Total Amount
		----	----	----	
1.	Independent Directors	NIL	NIL	NIL	NIL
	- Fees for attending board	NIL	NIL	NIL	NIL
	- Commission	NIL	NIL	NIL	NIL
	- Others, Please specify	NIL	NIL	NIL	NIL
	Total (1)	NIL	NIL	NIL	NIL
2.	Other Non-Executive Directors	NIL	NIL	NIL	NIL
	- Fees for attending board	NIL	NIL	NIL	NIL
	- Commission	NIL	NIL	NIL	NIL
	- Others, Please specify	NIL	NIL	NIL	NIL
	Total (2)	NIL	NIL	NIL	NIL
	Total (B) = (1 + 2)	NIL	NIL	NIL	NIL
	Total Managerial Remuneration	NIL	NIL	NIL	NIL
	Overall Ceiling as per the Act	NIL	NIL	NIL	NIL

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTd:

Sr.	Particulars of Remuneration	Key Managerial Personnel			Total Amount
		CEO	Company	CFO	
1.	Gross Salary	NIL	NIL	NIL	NIL
	(a) Salary as per Provisions	NIL	NIL	NIL	NIL
	(b) Value of Perquisites u/s 17(2) of	NIL	NIL	NIL	NIL
	(c) Profits in lieu of Salary u/s 17(3)	NIL	NIL	NIL	NIL
2.	Stock Option	NIL	NIL	NIL	NIL
3.	Sweat Equity	NIL	NIL	NIL	NIL
4.	Commission	NIL	NIL	NIL	NIL
	- as % of Profit	NIL	NIL	NIL	NIL
	- Others, specify...	NIL	NIL	NIL	NIL
5.	Others, Please specify	NIL	NIL	NIL	NIL
	Total (A)	NIL	NIL	NIL	NIL
	Ceiling as per the Act	NIL	NIL	NIL	NIL

viii) Penalties / Punishment/ Compounding of Offences:

Type	Section of	Brief	Details of Penalty /	Authority	Appeal
A. COMPANY					
Penalty	None				
Punishment					
Compounding					

B. DIRECTORS	
Penalty	None
Punishment	
Compounding	
C. OTHER OFFICERS IN DEFAULT	
Penalty	None
Punishment	
Compounding	

By the order of the Board of Directors
FOR RIDHI SHARE BROKERS PRIVATE LIMITED
For Ridhi Share Brokers Pvt. Ltd.


(BUDHI PRAKASH SHARMA)
Managing Director
DIN: 01387082

For Ridhi Share Brokers Pvt. Ltd.


(NUPUR SHARMA)
Director
DIN: 01934531

August 28, 2018
Jaipur