# RIDHI SHARE BROKERS PRIVATE LIMITED

A-40A, Bajaj Nagar, Opp. Gandhi Nagar Railway Station, Jaipur-302015 Tele: +91-141-2711604, email ID: <u>rsbpl72@yahoo.co.in</u> CIN: U67120RJ2007PTC025461

### Investor Complaints Data for JANUARY 2024

| S<br>N | Receive<br>d from                               | Carried<br>forwar<br>d from<br>previou<br>s<br>month | Receive<br>d<br>during<br>the<br>month | Total<br>Pendin<br>g | Resolve<br>d* | Pending at the<br>end of the<br>month**         |   | Average<br>Resoluti<br>on time^<br>(indays) |
|--------|---|--|--|----------------------|---------------|---|---|---|
|        |   |  |  |                      |               | Pendin<br>g for<br>less<br>than 3<br>month<br>s | Pendin<br>g for<br>more<br>than 3<br>month<br>s |   |
| 1      | 2   | 3  | 4                                      | 5                    | 6             | -   | 7   | 8   |
| 1      | Directly<br>from<br>Investors<br>SEBI<br>(SCORE |  |  |                      |               |   |   |   |
| 3      | S)<br>Stock<br>Exchang<br>es                    |  |  |                      | Nil           |   |   |   |
| 4      | Other<br>Sources<br>(if any)                    |  |  |                      |               |   |   |   |
| 5      | Grand<br>Total                                  |  |  |                      |               |   |   |   |

### Trend of monthly disposal of complaints

| SN | Month | Carried forward<br>from previous<br>month |   | Resolved* | Pending** |
|----|-------|---|---|-----------|-----------|
| 1  | 2     | 3   | 4 | 5         | 6         |

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| 11 | April - 2023     |  |
|----|------------------|--|
|    |                  |  |
| 12 | 12 May- 2023     |  |
| 13 | 13 June - 2023   |  |
| 14 | July - 2023      |  |
| 15 | August - 2023    |  |
| 16 | September - 2023 |  |
| 17 | October - 2023   |  |
| 18 | November - 2023  |  |
| 19 | December - 2023  |  |
|    | Grand Total      |  |

\*Should include complaints of previous months resolved in the current month, if any. \*\*Should include total complaints pending as on the last dayof the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided bytotal number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

| SN | Year        | Carrie<br>from<br>year | d forward<br>previous | Received<br>during the year | Resolved<br>during the<br>year | Pending at<br>the end of<br>the year |
|----|-------------|------------------------|-----------------------|-----------------------------|--------------------------------|--------------------------------------|
| 1  | 2017-18     |                        |                       |                             |                                |                                      |
| 2  | 2018-19     |                        |                       |                             |                                |                                      |
| 3  | 2019-20     |                        |                       | Nil                         |                                |                                      |
| 4  | 2020-21     |                        |                       | 2 (12                       |                                |                                      |
| 5  | 2021-22     |                        |                       |                             |                                |                                      |
| 6  | 2022-23     |                        |                       |                             |                                |                                      |
|    | Grand Total |                        |                       |                             |                                |                                      |